

COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

**SERIAL NO. 09/895,883**

IBM DOCKET NO. AUS920010238US1

DATE: August 1, 2005

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: : Group Art Unit: 3623  
Calderaro, et. al. : Intellectual Property Law Department  
Serial No.: 09/895,883 : International Business  
Filed: June 29, 2001 : Machines Corporation  
Title: System and Method for Improved : Intellectual Property Law Dept.  
Matrix Management of Personnel : 11400 Burnet Road  
Planning Factors : Austin, Texas 78758

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**INFORMATION DISCLOSURE STATEMENT**

The Commissioner of Patents  
Washington, D.C. 20231

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such. In accordance with 37 CFR § 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR § 1.56 (a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR § 1.98 (a)(1). A copy of each listed item that is not a U.S. Patent document, is supplied herewith.

Respectfully submitted,

08/02/2005 STEUMEL1 00000034 090447 09895883

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By Joseph T. Van Leeuwen **RECEIVED**  
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Page 1 of 1

Atty Ref. No. 1032

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Number of Pages to Follow (including this cover sheet) 5

SEND TO: United States Patent Office

Examiner: Stimpak, Johnna

Group Art Unit: 3623

Tel No: 571-272-6736

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FROM: Joseph T. Van Leeuwen  
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Docket No. AUS920010238US1

Serial No. 09/895,883

Atty: LAV / JVL

Applicant: Calderaro, et al.

<input checked="" type="checkbox"/> Transmittal Letter (2 copies)	<input checked="" type="checkbox"/> Certificate of Facsimile
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<input type="checkbox"/> Amendment AF	<input type="checkbox"/> Appeal Brief (3 copies)
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<input checked="" type="checkbox"/> Form PTO-1449 (Modified)	
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Deposit Acct. No. 09-0447

Fees: Amendment  Notice of Appeal  Appeal Brief  Other \$180.00

Atty Ref. No. 1032


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**SERIAL NO. 09/895,883**

IBM DOCKET NO. AUS920010238US1

DATE: August 1, 2005

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I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.	
 Joseph T. Van Leeuwen	<u>8/1/05</u> Date

Sir:

Transmitted herewith for filing is the Patent Application of:

Inventors: Calderaro, et al.

For: System and Method for Improved Matrix Management of Personnel Planning Factors

Enclosed are:


- ☒ Information Disclosure Statement;
- ☒ Modified form 1449 listing 5 references;
- ☒ copies of any non-U.S. Patent references listed on form 1449.

37 CFR § 1.97 is satisfied by the following:

- ☒ This IDS is being filed pursuant to CFR § 1.97(c) and:
  - ☒ is accompanied by the fee set forth in § 1.17(p), please charge my Deposit Account No. 09-0447 the fees set forth in § 1.17(p) [\$180.00]. (a duplicate copy of this sheet is enclosed); and
  - ☒ no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 09-0447. A duplicate copy of this sheet is enclosed.
- ☒ Any additional patent application processing fees under 37 CFR Sect. 1.17.

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Respectfully submitted,

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Atty Ref. No. 1032

In Place of FORM PTO-1449 (Modified)

Serial No. 09/895,883

**LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT**

Applicant: Calderaro, et. al.

Filing Date: 6/29/2001

Group: 3623

Atty. Docket No. AUS920010238US1

**U. S. PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Name	Classification Class / Subclass	Filing Date if Appropriate
_____	AA 6,338,042	01-2002	Paizis, James N.	705/11	
_____	AB 6,754,874	06-2004	Richman, Ira S.	715/507	
_____	AC 5,819,231	10-1998	Tremaine, John L.	705/7	
_____	AD 6,269,355	07-2001	Grimse et al.	706/46	
_____	AE 6,741,993	05-2004	Zitaner et al.	707/10	
_____	AF				
_____	AG				
_____	AH				
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**FOREIGN PATENT DOCUMENTS**

Examiner Initial	Document Number	Date	Country	Classification	Translation Yes No
_____	AM				
_____	AN				
_____	AO				
_____	AP				

**OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)**

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Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPBP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.